

32692 Customer Number IAP6 Rec'd PCT/PTO 29 MAY 2007

ATENT

Docket No.: 59109US004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MARC PEUKER, ARNO HOHMANN and HELMUT PAUSER

Serial No.:

10/598,613

Filed:

March 10, 2005

(September 6, 2006)

For:

CAPSULE FOR STORAGE,

MIXING AND DISPENSING

MATERIALS

Confirmation No.

7832

Group Art Unit:

Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on:

May 23, 2007

Date

Signature

Andy L. Hansen

LATE SUBMISSION OF DECLARATION UNDER 37 CFR 1.53(d) AND TRANSMITTAL OF ASSIGNMENT FOR RECORDING

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 • Alexandria, Virginia 22313-1450

Dear Sir:

Enclosed herewith is a Declaration and Power of Attorney for the above-identified application, along with a copy of the Patent and Trademark Office's Notice of April 30, 2007. An assignment to 3M ESPE AG is also enclosed.

Authorization is hereby provided to/change they fee required in connection with this paper, including the \$130.00 fee under 37 CFR 1.16(f) for a surcharge for filing this late Declaration, to Deposit Account No. 13-3723. Please also charge the fee of \$40.00 for recording of the assignment, which is hereby requested, to the same deposit account.

A separate cover letter directed to the Assignment Branch is enclosed to which a copy of the assignment is attached.

Having now complied with all of the requirements of 37 CFR 1.53, applicants respectfully request that this application be placed on the files for examination.

Respectfully submitted,

Peter L. Olson

Registration No. 35,308 Attorney for Applicants

PLO:jlh/#317308 - 59109US004 Response to Notification of Missing Requirements Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427 (651) 736-4050 Facsimile (651) 736-3833

Dated: 23 May 2007

Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

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Alexandria, Virginia	a 22313-1450	

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 59109US004 10/598,613 Marc Peuker

INTERNATIONAL APPLICATION NO.

PCT/EP05/02547

32692

OFFICE OF NIELLECTUAL 3M INNOVATIVE PROPERTIES COMPANY

I.A. FILING DATE 03/10/2005

PRIORITY DATE 03/11/2004

PO BOX 33427

PROPERTY COUNSEL 3M INNOVATIVE PROPERTIES COMPANY

CONFIRMATION NO. 7832

MAY - 4 2007

371 FORMALITIES LETTER

OC000000023595586*

Date Mailed: 04/30/2007

ST. PAUL, MN 55133-3427

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

• Copy of the International Application filed on 09/06/2006

- Copy of the International Search Report filed on 09/06/2006
- Copy of IPE Report filed on 09/06/2006
- Preliminary Amendments filed on 09/06/2006
- Information Disclosure Statements filed on 04/05/2007
- U.S. Basic National Fees filed on 09/06/2006
- Priority Documents filed on 09/06/2006

DUE DATE(S) ATTORNEY

DOCKETED

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

. [U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
	10/598,613	PCT/EP05/02547	59109US004

FORM PCT/DO/EO/905 (371 Formalities Notice)

Guidelines for Completing Patents Cover Sheets

Cover Sheet information must be submitted with each document to be recorded. If the document to be recorded concerns both patents and trademarks, separate patent and trademark cover sheets, including any attached pages for continuing information, must accompany the document. All pages of the cover sheet should be numbered consecutively, for example, if both a patent and trademark cover sheet is used, and information is continued on one additional page for both patents and trademarks, the pages of the cover sheet would be numbered from 1 to 4.

Item 1. Name of Conveying Party(ies).

Enter the full name of the party(ies) conveying the interest. If there is insufficient space, enter a check mark in the "Yes" box to indicate that additional information is attached. The name of the additional conveying party(ies) should be placed on an attached page clearly identified as a continuation of the information in Item 1. Enter a check mark in the "No" box, if no information is contained on an attached page.

Item 2. Name and Address of Receiving Party(les).

Enter the name and full address of the first party receiving the interest. If there is more than one party receiving the interest, enter a check mark in the "Yes" box to indicate that additional information is attached. Enter a check mark in the "No" box, if no information is contained on an attached page.

Item 3. Nature of Conveyance.

Place a check mark in the appropriate box describing the nature of the conveying document. If the "Other" box is checked, specify the nature of the conveyance. Enter the execution date of the document. It is preferable to use the name of the month, or an abbreviation of that name, in order that confusion over dates is minimized.

Item 4. Application Number(s) or Patent number(s).

Indicate the application number(s), and/or patent number(s) against which the document is to be recorded. National application numbers must include both the series code and the serial number; and international application numbers must be complete, e.g., 07/123,456 for national application numbers, and PCT/US91/12345 for international application numbers. Enter a check mark in the appropriate box: "Yes" or "No" if additional numbers appear on attached pages. Be sure to identify numbers included on attached pages as the continuation of Item 4.

Item 5. Name and Address of Party to whom correspondence concerning the document should be mailed.

Enter the name and full address of the party to whom correspondence is to be mailed.

Item 6. Total Applications and Patents Involved.

Enter the total number of applications and patents identified for recordation. Be sure to include all applications and patents identified on the cover sheet and on additional pages.

Block 7. Total Fee Enclosed.

Enter the total fee enclosed or authorized to be charged. A fee is required for each application and patent against which the document is recorded.

Item 8. Deposit Account Number.

Enter the deposit account number to authorize charges. Attach a duplicate copy of cover sheet to be used for the deposit charge account transaction.

Item 9. Statement and Signature.

Enter the name of the person submitting the document. The submitter must sign and date the cover sheet, confirming that to the best of the persons knowledge and belief, the information contained on the cover sheet is correct and that any copy of the document is a true copy of the original document. Enter the total number of pages contained in the cover sheet, including any attached pages containing information continued from Items on the cover sheet.